Rev 9/04

PATENT

TED STATES PATENT AND TRADEMARK OFFICE

In re application Ying Lau Lee Application No.

10/678,614

Filed

October 3, 2003

Confirmation No.

9436

For

MULTI-LEVEL PULSE WIDTH MODULATION IN DIGITAL

SYSTEM

Attorney's Docket

HLEE-001XX

TC Art Unit: 2613

I hereby certify that this correspondence is being deposited with the

United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 9-23-09

ictor B. Lebovici

Registration No. 30,864 Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is desired to cite for the record in this application the enclosed references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached Information Disclosure Statement is being filed within three months of the filing date of the above identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 of the above identified application. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

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[X] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
 - [] a statement under 37 CFR § 1.97(e); or
 - [] the fee set forth in $\S 1.17(p)$.

PETITION UNDER 37 CFR § 1.97(d)

[] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e) (2)

[] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. §

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1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Where the status of the application has changed, unknown to the applicant, such that the boxes checked are no longer applicable, the Commissioner is authorized to accept this submission with any additional fees required by that change charged to Deposit Account No. 23-0804.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. \S 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

YING LAU LEE

Xictor B. Lebovici

Registration No. 30,864 Attorney for Applicants

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Enclosure 311620

Date: September 23, 2004 Page 1 of 1

U.S. DEPARTMENT OF COMMERCE			ATTY. DOCKET NO.		APPLICATION NO.			
(REV. 05/03)	PATENT AND TRADEMARK OFFICE			HLEE-001XX		10/678,614		
INFORMATION DISCLOSURE CITATION								
(Use several sheets if necessary)								
				APPLICANT:				
				Ying Lau Lee				
						TC ART UNIT	IC ART UNIT 2613	
		U.S. PATENT I	DOCUMENT	<u>i</u>		2013		
EXAMINER	DOCUMENT NUMBER	PUBLICATION/	NAME		CLASS SUBCLASS		FILING	DATE
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	WO 99/40684	8/12/1999	WIPO				X	1,0
	EP 1 028 524	8/16/2000	EPO				Х	
	EP 0 141 386	3/6/1991	EPO	· -			Х	
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)								
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